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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : January 10, 2001
L.E. Ullman, et al : Group Art No. Not assigned
Serial No. 09/737,368 : Examiner: Not assigned
Filed: December 15, 2000 : for IBM Corporation
Anne Vachon Dougherty
3173 Cedar Road
Yorktown Heights, NY 10598
Title: METHOD AND APPARATUS
IN NETWORK MANAGEMENT
SYSTEM FOR SLOW LINK
APPLICATION PROGRAMMING
INTERFACES FOR STATUS QUERIES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed herewith are a "List of Prior Art Cited by Applicant" (PTO Form 1449) as required by 37 CFR 1.98(a)(1) and copies of the documents listed therein.

Referenced as AA is US Patent 6,049,549 by Ganz, et al. It was dated 4/11/2000 and has a Class/Subclass of 370/449.

Referenced as AB is US Patent 6,118,761 by Kalkunte, et al. It was dated 9/12/2000 and has a Class/Subclass of 370/229.

Referenced as AC is US Patent 5,659,787 by Schieltz, et al. It was dated 8/19/1997 and has a Class/Subclass of 395/200.56.

Referenced as AD is US Patent 5,818,845 by Moura, et al. It was dated 10/6/1998 and has a Class/Subclass of 370/449.

Referenced as AL is Foreign Patent JP10-294749, dated 1998-11-04, entitled "Polling Delay Avoidance System".

Referenced as AM is Foreign Patent JP10-327148, dated 1998-12-08, entitled "Communication Network Management Method, Communication Network Management System Realizing the Method and Recording Medium Recording Medium Recording Program of the Method".

The documents are provided for the information of the Examiner. Provision of same is not meant to convey that a complete search has been conducted or that the enclosed represents all of the related patents in the subject area.

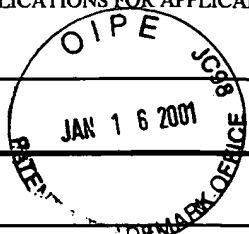
The Examiner is respectfully requested to consider the enclosed documents and indicate consideration thereof by initialing the enclosed PTO form 1449 and returning a copy of the initialed form to Applicant's undersigned attorney.

Respectfully submitted,

L.E. Ullman, et al

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Anne Vachon Dougherty
Registration No. 30,374
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| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | ATTY. DOCKET NO. AUS9-2000-0829 | SERIAL NO.: 09/737368 APPLICANT: L.E. Ullmann, et al |
| | FILING DATE: December 15, 2000 | GROUP: to be assigned |



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPRO.) |
|----------------------|--------------------|-----------|-----------------|-------|----------|----------------------------|
| AA | 6 0 4 9 5 4 9 | 4/11/2000 | Ganz, et al | 370 | 449 | |
| AB | 6 1 1 8 7 6 1 | 9/12/2000 | Kalkunte, et al | 370 | 229 | |
| AC | 5 6 5 9 7 8 7 | 8/19/1997 | Schieltz, et al | 395 | 200.56 | |
| AD | 5 8 1 8 8 4 5 | 10/6/1998 | Moura, et al | 370 | 449 | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|----------------------|------------------|------------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| AL | JP10 2 9 4 7 4 9 | 1998-11-04 | Japan | | | | |
| AM | JP10 3 2 7 1 4 8 | 1998-12-08 | Japan | | | | |
| AN | | | | | | | |
| AO | | | | | | | |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.